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| TO: COMMISSIONER FOR PATENTS, U.S. PATENT & TRADEMARK OFFICE | | |
| FAX NO: (571) 273-8300 (GENERAL/MAIN FAX LINE) | | |
| NO. OF PAGES: Cover + 4 | | |
| CERTIFICATE OF FACSIMILE TRANSMISSION I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below. Renee M. Franks Typed/Printed Name Signature September 20, 2006 Date | APPLICATION NO. | 10/004,100 |
| | FILING DATE | 10/31/2001 |
| | FIRST NAMED INVENTOR | Thomas D. Hanan |
| | ART UNIT | 2186 |
| | CONFIRMATION NO. | 9371 |
| | EXAMINER | Tuan V. Thai |
| | ATTORNEY DOCKET NO. | K35A0989 |
| TITLE | DISK DRIVE AND METHOD FOR USING A MAILBOX FILE ASSOCIATED WITH A DISK STORAGE MEDIUM FOR PERFORMING A FUNCTION CHARACTERIZED BY CONTENTS OF THE MAILBOX FILE | |

ATTACHED WITH THIS SUBMISSION:

1. Fee Transmittal for FY 2006 (1 page)
2. Submission of Information Disclosure Statement Under 37 CFR 1.56, 37 CFR 1.97(c), and 37 CFR 1.98 (2 pages)
3. Information Disclosure Statement / Form PTO/SB/08 (1 page)

PLEASE CONFIRM RECEIPT OF THIS TRANSMISSION. IF THERE IS ANY PROBLEM WITH THIS TRANSMISSION, PLEASE CALL RENEE M. FRANKS AT (949) 672-7871.

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SEP 20 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Thomas D. Hanan

Art Unit: 2186

Serial No.: 10/004,100

Examiner: Tuan V. Thai

Filing Date: 10/31/2001

Confirmation No.: 9371

For: DISK DRIVE AND METHOD FOR
USING A MAILBOX FILE ASSOCIATED
WITH A DISK STORAGE MEDIUM FOR
PERFORMING A FUNCTION
CHARACTERIZED BY CONTENTS OF
THE MAILBOX FILE

Docket No.: K35A0989

**SUBMISSION OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56
37 CFR §1.97(c) and 37 CFR §1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In complying with the duty of disclosure set forth in 37 CFR §1.56, Applicants submit the enclosed Information Disclosure Statement. Applicants certify that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Applicants respectfully request that the Examiner review all of the references submitted herewith and enter each as a matter of record herein. Applicants further request that a signed and initialed copy of the form PTO/SB/08 be returned to Applicants' mailing address.

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Page 1 of 2

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Art Unit 2186
Serial No. 10/004,100

PATENT
Attorney Docket No.: K350989

The Commissioner is hereby authorized to charge payment of any fees associated with this Communication or credit any overpayment to Deposit Account No. 23-1209.

Respectfully submitted,

Date: September 20, 2006

By: 
Jason T. Evans, Esq.
Reg. No. 57,862

WESTERN DIGITAL TECHNOLOGIES, INC.
20511 Lake Forest Drive
Lake Forest, CA 92630
Tel.: (949) 672-7000
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(Use as many sheets as necessary)

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|------------------------|----------|
| Attorney Docket Number | K35A0989 |
|------------------------|----------|

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| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.87 and 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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SEP 20 2006

PTO/SB/17 (01-06)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**180**

Complete if Known

| | |
|----------------------|-----------------|
| Application Number | 10/004,100 |
| Filing Date | 10/31/2001 |
| First Named Inventor | Thomas D. Hanan |
| Examiner Name | Tuan V. Thai |
| Art Unit | 2186 |
| Attorney Docket No. | K35A0989 |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: **23-1209** Deposit Account Name: **WESTERN DIGITAL**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Fee (\$)
50

Small Entity Fee (\$)
25

Each independent claim over 3 (including Reissues)

200

100

Multiple dependent claims

360

180

Total Claims - 20 or HP = x 50 = Fee Paid (\$)

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims - 3 or HP = x 200 = Fee Paid (\$)

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(c)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets - 100 = / 50 = (round up to a whole number) x Fee (\$)

Fee Paid (\$)

4. OTHER FEE(S)

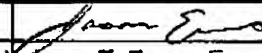
Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge): Submission of an Information Disclosure Statement (FC 1806)

180

SUBMITTED BY

| | | | | | |
|-------------------|---|-----------------------------------|--------------------|-----------|----------------|
| Signature |  | Registration No. (Attorney/Agent) | 57,862 | Telephone | (949) 672-9474 |
| Name (Print/Type) | Jason T. Evans, Esq. | Date | September 20, 2006 | | |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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